

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                   |                    |                 |                |
|-------------------|--------------------|-----------------|----------------|
| Applicant(s)      | Matsui, et al.     | Examiner:       | Unassigned     |
| Serial No.:       | 10/593,514         | Group Art Unit: | Unassigned     |
| Confirmation No.: | 1703               | Docket:         | 1038-13 PCT/US |
| Filed:            | March 14, 2005     | Dated:          | April 5, 2007  |
| For:              | MAGNETIC NANOTUBES |                 |                |

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Mail Stop: Missing Parts

*I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to:*  
Commissioner for Patents, P.O. Box 1450,  
Alexandria, Virginia 22313-1450  
On April 5, 2007

*Signed:* Deanne J. Sipos

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS  
UNDER 35 U.S.C. §371

Sir:

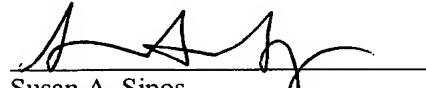
In response to the Notification of Missing Requirements Under 35 U.S.C. §371, mailed on March 23, 2007, enclosed herewith are the following:

1. Copy of the Notification of Missing Requirements Under 35 U.S.C. §371
2. A copy of the Combined Declaration and Power of Attorney executed by the inventors.
3. FEES: \$ 65.00 Surcharge

Please charge the fee in the amount of \$65.00 to Deposit Account No. 08-2461. A duplicate copy of this sheet is enclosed for that purpose.

04/12/2007 MKAYPAGH 00000070 082461 10593514  
01 FC:2617 65.00 DA

Respectfully submitted,

  
Susan A. Sipos  
Registration No.: 43,128  
Attorney for Applicant(s)

HOFFMANN & BARON, LLP  
6900 Jericho Turnpike  
Syosset, New York 11791  
(516) 822-3550

SAS/dlg  
235526

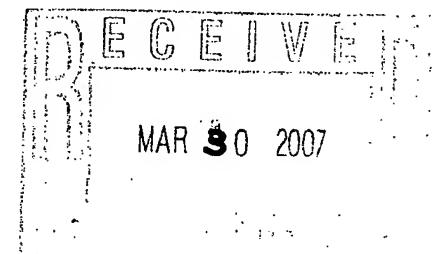
PCT  
J

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
|-----------------------------|-----------------------|------------------|
| 10/593,514                  | Hiroshi Matsui        | 1038-13 PCT/US   |
| RECEIVED                    |                       |                  |
| MAR 30 2007                 |                       |                  |
| I.A. FILING DATE            | PRIORITY DATE         |                  |
| 03/14/2005                  | 03/19/2004            |                  |

Hoffmann & Baron  
 6900 Jericho Turnpike  
 Syosset, NY 11791



INTERNATIONAL APPLICATION NO.

PCT/US05/07953

I.A. FILING DATE PRIORITY DATE

03/14/2005 03/19/2004

CONFIRMATION NO. 1703

371 FORMALITIES LETTER



\*OC000000023064762\*

Date Mailed: 03/23/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 09/19/2006
- U.S. Basic National Fees filed on 09/19/2006
- Specification filed on 09/19/2006
- Claims filed on 09/19/2006
- Abstracts filed on 09/19/2006
- Drawings filed on 09/19/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocaleEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

BARBARA A CAMPBELL

---

Telephone: (703) 308-9140 EXT 217

**PART 1 - ATTORNEY/APPLICANT COPY**

---

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/593,514                  | PCT/US05/07953                | 1038-13 PCT/US   |

FORM PCT/DO/EO/905 (371 Formalities Notice)